

UBS AG  
P.O.Box, CH-8098 Zurich  
Tel.

Securities Services Corporate Events  
Special Transactions & Default

**BY REGISTERED MAIL**

U.S. Bankruptcy Court for the  
Southern District of N.Y.  
One Bowling Green

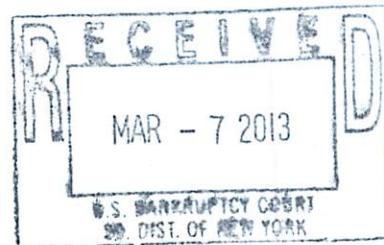
New York, N.Y. 10004

Jean-Claude Besson  
OQ9C / O5GC - JWJ  
Badenerstrasse 574 C / FNNC-288  
Tel. +41 44 235 60 42  
Fax +41 44 235 47 21  
jean-claude.besson@ubs.com

www.ubs.com

## Message

March 4, 2013



subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555

On behalf of

Attention: Clerk of the Court

you are receiving

Withdrawal Request of duplicated DOCKET No. 30915 dated September 10, 2012  
as per attachements

- for your information
- for your records
- as agreed
- please complete

- returned with thanks
- please comment
- please sign
- please forward to

- please return
- please confirm receipt
- please process

Remarks

TOCE040 units 9.00 3118201 XS0302356737

Transferor: Credit Suisse AG, Zurich

Initial Claim Number 55829

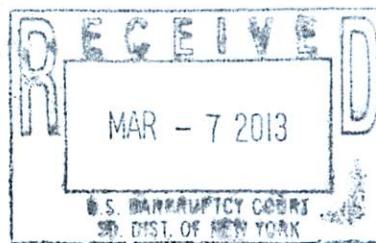
Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

UBS AG

Jean-Claude Besson  
Associate Director





UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC.

Debtor.

x

: Chapter 11

: Case No. 08-13555

: (Jointly Administered)

:

:

x

**NOTICE OF WITHDRAWAL OF PARTIAL TRANSFER OF CLAIM OTHER THAN  
FOR SECURITY**

**PLEASE TAKE NOTICE** that UBS AG hereby withdraws the *Partial Transfer of Claim other than for Security* Docket No. 30915, dated September 10, 2012, filed in reference to Claim No. 55829, and served on the Debtor and the Debtor's claims and noticing agent.

Dated: 4 March, 2013

UBS AG

A blue ink signature of Hugo Koller.

Hugo Koller  
Director

A blue ink signature of Jean-Claude Besson.

Jean-Claude Besson  
Associate Director



&lt;&lt;&lt;|&gt;&gt;&gt;

Docket Number Docket Date Docket Text

Related Documents

Transfer Agreement FRBP Transferor: Credit Suisse AG, (Claim No. 55829). To UBS AG. filed by UBS AG.  
(Lopez, Mary)

*PARTIALLY  
DUPLICATED*

30915

09/10/2012

Case: Lehman Brothers Holdings Inc.

[Document](#)

Related: none

Transfer Agreement FRBP - Three Evidence of Transfer of Claim - (Claim No. 55829); Credit Suisse AG  
(Claim No. 55829); Credit Suisse AG(Claim No. 55829). To UBS AG. filed by UBS AG.(Lopez, Mary)

*NS Court*

30415

08/17/2012

Case: Lehman Brothers Holdings Inc.

[Document](#)

Related: none

Page 1 of 1 (2 items)

&lt;&lt;&lt;|&gt;&gt;&gt;

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URL: 



BY REGISTERED MAIL  
Epiq Bankruptcy Solutions, LLC  
757 Third Avenue, 3rd Floor  
  
New York, NY 10017

UBS AG  
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jean-claude.besson@ubs.com  
  
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### EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Credit Suisse AG ("Transferor") unconditionally and irrevocably transferred to UBS AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 55829) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON JULY 24, 2012.

Credit Suisse AG

By: Martina Berli  
Name: Martina Berli  
Title: Vice President

By: Juliette Diallo  
Name: Juliette Diallo  
Title: Assistant Vice President

subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555

On behalf of

you are receiving 1 Evidence of Transfer of Claim between Credit Suisse , Zurich and UBS AG, Zurich  
dated 24.7.2012

- |  |   |
|--|---|
| <input type="checkbox"/> for your information        | <input type="checkbox"/> returned with thanks |
| <input checked="" type="checkbox"/> for your records | <input type="checkbox"/> please comment       |
| <input type="checkbox"/> as agreed                   | <input type="checkbox"/> please sign          |
| <input type="checkbox"/> please complete             | <input type="checkbox"/> please forward to    |

Remarks  
TOCE040 units 9.00 3118201 XS0302356737

Transferor: Credit Suisse AG, Zurich  
Initial Claim Number 55829  
Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

UBS AG

Jean-Claude Besson

Jean-Claude Besson  
Associate Director

Stephen Gfeller

Stephen Gfeller  
Associate Director





**UBS AG**  
P.O.Box. / CH-8098 Zurich  
Tel:

Securities Services Corporate Events  
Special Transactions & Default

Jean-Claude Besson  
OQSC / OSGC - NVI  
Flusstrasse 62 / FFE - 020  
Tel. +41 44 235 60 42  
Fax +41 44 235 47 21  
jean-claude.besson@ubs.com

[www.ubs.com](http://www.ubs.com)

**A**  
Priority  
6090 Zurich

RM 582 695 959 CH

**P.P.**

BY REGISTERED MAIL  
Epic Bankruptcy Solutions, LLC  
757 Third Avenue, 3rd Floor

New York, NY 10017

AUG 30 2012



L B H

**BY REGISTERED MAIL**  
U.S. Bankruptcy Court for the  
Southern District of N.Y.  
One Bowling Green  
  
New York, N.Y. 10004

## Message

August 23, 2012

subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555

On behalf of      Attention: Clerk of the Court

you are receiving    1 Evidence of Transfer of Claim between Credit Suisse , Zurich and UBS AG, Zurich  
dated 24.7.2012

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> for your information        | <input type="checkbox"/> returned with thanks | <input type="checkbox"/> please return                     |
| <input checked="" type="checkbox"/> for your records | <input type="checkbox"/> please comment       | <input checked="" type="checkbox"/> please confirm receipt |
| <input type="checkbox"/> as agreed                   | <input type="checkbox"/> please sign          | <input checked="" type="checkbox"/> please process         |
| <input type="checkbox"/> please complete             | <input type="checkbox"/> please forward to    |  |

Remarks  
TOCE040      units    9.00      3118201      XS0302356737

Transferor: Credit Suisse AG, Zurich  
Initial Claim Number 55829  
Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

RECEIVED BY MAIL

Yours sincerely,

UBS AG

*J.Besson*

Jean-Claude Besson  
Associate Director

*S.Gfeller*

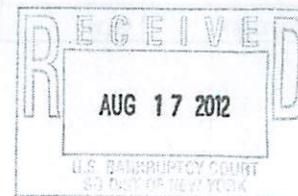
Stephan Gfeller  
Associate Director

BY REGISTERED MAIL  
U.S. Bankruptcy Court for the  
Southern District of N.Y.  
One Bowling Green  
  
New York, N.Y. 10004

UBS AG  
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Fax +41 44 235 47 21  
jean-claude.besson@ubs.com  
  
[www.ubs.com](http://www.ubs.com)

## Message

August 13, 2012



subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555

On behalf of Attention: Clerk of the Court  
you are receiving 3 EvidenceS of Transfer of Claim between Credit Suisse , Zurich and UBS AG, Zurich  
dated 24.7.2012 + 26.7.2012 + 3.8.2012 :

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> for your information        | <input type="checkbox"/> returned with thanks | <input type="checkbox"/> please return                     |
| <input checked="" type="checkbox"/> for your records | <input type="checkbox"/> please comment       | <input checked="" type="checkbox"/> please confirm receipt |
| <input type="checkbox"/> as agreed                   | <input type="checkbox"/> please sign          | <input checked="" type="checkbox"/> please process         |
| <input type="checkbox"/> please complete             | <input type="checkbox"/> please forward to    |  |

Remarks..

TOCE034	units	9.00	XS0302356737
TOCE035	CHF	17'000.00	XS0326086716
TOCE036	CHF	75'000.00	XS0248620899

Transferor: Credit Suisse AG, Zurich

Initial Claim Number 55829

Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

UBS AG

Jean-Claude Besson  
Associate Director

08-13555-mg Doc 35841 Filed 03/07/13 Entered 03/11/13 14:26:46 Main Document  
Pg 7 of 8

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, Crédit Suisse AG ("Transferor") unconditionally and irrevocably transferred to UBS AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 55829) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON JULY 24, 2012.

Credit Suisse AG

By: M. Berli  
Name: Martina Berli  
Title: Vice President

By: J.A. Diallo  
Name: Juliette Diallo  
Title: Assistant Vice President

**SCHEDULE I****Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
XS0302356737	55829	October 29, 2009	Lehman Brothers Securities NV	9 Units

R Recommandé  
Etranger

Priority

8098

Zürich



RM 562 754 747 CH

